

FY 2007
DEPARTMENT OF THE TREASURY
E-GOVERNMENT ACT REPORT
September 21, 2007

Modernized e-File (MeF) Program

The Department of the Treasury strongly supports the vision of the E-Government Act of 2002 (Pub. L. No. 107-347) (Act) to expand web and computer resources in delivering and improving Government services to the citizen. As required by the E-Government (E-Gov) Act of 2002 and the Office of Management and Budget (OMB), this report is the Department's Fiscal Year (FY) 2007 submission on Treasury's progress in implementing provisions of the Act.

Section 1 – Implementation of Electronic Government Initiatives

Describe how the initiative is transforming agency operations:

Modernized Electronic File (MeF) is the Internal Revenue Service (IRS) e-Filing platform for the future. MeF is web-based and provides a means for corporate, non-profit, and partnership tax returns to be filed electronically via the Internet. It has transformed how IRS completes its tax processing and compliance functions. MeF allows a tax return to be filed electronically, rather than as a hybrid filing that is part paper and part electronic. This eliminates the need to send paper documents to processing centers as well as the need to match paper documents with an electronically filed return. Additionally, MeF gives taxpayers the opportunity to meet their state filing obligations by filing their state return using their Federal return. This e-Filing platform improves the capability for conducting tax compliance audits by providing the entire electronically filed return to the auditor in the field more quickly. The tax return data is obtained electronically and provides the audit teams with an easier and faster means of auditing corporate returns regardless of geographical location. MeF allows a more robust capability to select and classify returns which helps to ensure that the right returns are selected for audit and provides verification to the taxpayer that their return was received and processed by the IRS. This notification is provided within 24 hours, reducing the likelihood that the taxpayer will need to contact the IRS to obtain information on the status of their return.

Explain how your agency maintains an ongoing dialogue with interested parties to find innovative ways to use information technology for the initiative:

In Fiscal Year (FY) 2007, IRS implemented a legislatively mandated Department of Transportation (DOT) initiative to allow the heavy vehicle trucking industry to electronically file excise tax-related returns. Working side-by-side with DOT, IRS developed and delivered software to receive and process three specific excise tax forms. An excise tax related return filed with MeF will return a watermarked (secure validation)

document to provide proof of payment to the taxpayer. This document is provided within 24 hours and can be taken by the taxpayer to their state motor vehicle agency to register their trucks. Prior to MeF, the turnaround time for the paper “proof of excise tax payment” was two to four weeks.

Through MeF the taxpayer can send all required tax-related documents with their return. Corporate organization charts and other taxpayer specific documentation can be scanned and attached to the electronic return. This eliminates the need for taxpayers to send documents to IRS via paper reducing time and storage costs. This capability ensures all required documents are associated with the taxpayers return immediately upon receipt.

Identify external partners (e.g., Federal, State or local agencies, industry) who collaborate with your agency on the initiative:

The MeF project team has formed strategic alliances with a number of professional organizations and tax practitioners. These organizations include the Federation of Tax Administrators (FTA), the American Institute of Certified Public Accountants (AICPA), the National Association of Computerized Tax Processors (NACTP), Council for Electronic Revenue Communication Advancement (CERCA), and the Tax Information Group for Electronic Data Interchange (EDI) Requirements Standardization (TIGERS). Through outreach sessions, technical seminars, and working level meetings, this partnership has helped set the direction for e-Filing. Currently, 19 software development firms, including a number of the biggest tax preparation software development companies, are registered to support e-filing with IRS. These firms, along with a number of large corporations, submit returns to the MeF system. Ten states have signed a memorandum of agreement with IRS to participate in the Federal/State e-Filing Program under MeF. This collaborative program allows taxpayers to use MeF to file their state tax returns with, or after, their Federal return has been submitted to the IRS.

Identify improved performance (e.g., outcome measures) by tracking performance measures supporting agency objectives and strategic goals:

MeF’s outcome measures directly support the Department of the Treasury’s Strategic Goal to achieve “Management and Organizational Excellence.” The outcomes of this strategic goal are “exceptional accountability and transparency” and a “citizen-centered, results-oriented, and strategically aligned organization.” Since the inception of MeF in 2003, IRS has developed and continued to refine measures to track and report performance and benefits of MeF. An electronically filed tax return costs \$2.15 less to process than a paper filed return. Since 2003, MeF has processed over 4 million corporate, non-profit, and partnership tax returns, reducing the cost to process these returns by over \$8 million. Electronic filing by the taxpayer population supported by MeF has increased by over 38% since MeF was implemented. Finally, the extensive error checking and data validation resident within MeF has reduced the error rate associated with processing tax returns. The IRS error rate goal for e-Filing of less than 10% is being met by both the e-Filing of corporate returns (.9%) and non-profit returns (9%). (Data is not yet available for partnership returns.)

Quantify the cost savings and cost avoidance achieved through implementing the initiative (e.g., by reducing or eliminating other investments in information technology):

MeF is expected to cost the government \$657.7 million and return nearly \$2.9 billion in benefits through its life cycle. Every return converted from paper to electronic filing saves IRS \$2.15 in processing costs per return. More importantly, across all return types, electronically filed returns have a less than one percent error rate compared to a five percent error rate for paper filed returns. A reduction in errors speeds the processing time and reduces the amount of interaction that is required between IRS and the taxpayer. MeF and the electronic filing of tax returns also reduces the volume of paper tax returns which must be kept in storage facilities for record retention purposes. Incorporating additional forms and schedules into the MeF platform will allow IRS to retire the legacy e-File applications in FY 2015, saving more than \$8 million a year in operating and maintenance costs.

Explain how this initiative ensures the availability of Government information and services for those without access to the Internet and for those with disabilities:

MeF provides a means for corporate, non-profit, and partnership filers to transmit their returns to IRS electronically. Filers also have the option of filing a paper return (provided the entity is not a mandated corporation, non-profit, or partnership organization). MeF is Section 508 compliant and can be used by taxpayers with disabilities. These taxpayers can also continue to file their return via paper if needed.

It is important to point out that at the present, the MeF accepts corporate, non-profit, and partnership returns. Typically, these returns are prepared and submitted by third-party or professional preparers. There are future plans for individual filers to use MeF, however, individual filers will also need to use a third-party preparer to transmit their electronic return directly to the IRS.

Explain how the project applies effective capital planning and investment control procedures:

MeF is one of 34 IRS, and one of 65 Treasury, Major Information Technology (IT) investments. (Major investments are those with a Total Life Cycle cost of more than \$50 million, or an annual cost of more than \$5 million.) According to MeF's current investment control scorecard, the investment is within cost, schedule, and performance variance thresholds. This investment adheres to Treasury and IRS CPIC policies and processes including the four phases of the CPIC Process (Pre-Select, Select, Control, and Evaluate); CPIC governance; E-Gov/President's Management Agenda Scorecard guidance; Baseline Change Request guidance; Earned Value Management guidance; and is supported by available training by CPIC and subject matter experts (e.g., Exhibit 300 and ProSight training).

Section 2 – Agency Information Management Activities

Complying with Section 207 of the E-Gov Act, Treasury has a process in place for determining what information will be made available on the Internet. Treasury's Office of Public Affairs develops and implements the communications strategy for the Department and advises officials within the Department how best to communicate issues and priorities of public interest. Based on the Interagency Committee on Government Information (IGCI) guidelines, Treasury considers the audiences who do, should, and might visit the Treasury public website, drawing on a wide range of existing and ongoing customer segmentation efforts.

Agency's Information Resources Management Strategic Plan:

The Department's FY 2007-2012 Strategic Plan was officially published in August 2007. In order to properly align with the Strategic Plan, the Information Resource Management (IRM) Strategic Plan is scheduled for review and update in October 2007. The revised plan will be posted on Treasury's public website when completed.

Final determinations, priorities, and schedules. Also include agency information dissemination product catalogs, directories, inventories, and any other management tools used to improve the dissemination of and access to agency information by the public:

The Department examines citizen feedback through emails and analyzes responses to customer satisfaction survey questions to determine what information, if any, is missing from the public website. Treasury also reviews search engine metrics to determine most frequently searched words and phrases. The Department prioritizes and categorizes information, which is then published on the website via press releases and updated content pages. Treasury first published its web policy, including schedules and priorities for public comment on December 15, 2004. All Treasury bureaus posted web content priorities and schedules on their Internet sites. A schedule for posting of web content and a comment form are available on the Department's principal public website at <http://www.treas.gov/offices/cio/web-inventory.shtml>. Please see Attachment A to view this schedule.

Treasury replaced the hosted public facing search engine with an industry standard search engine, a Google search appliance. Search results are displayed in order of relevancy and response times are equivalent to industry best practices. Three Treasury bureaus share the appliance with Treas.Gov. Currently, all Treasury bureaus have search engines with response times equivalent to industry best practices and which sort by relevance. In August 2006, the annual Brown University report titled State and Federal E-Government in the United States scored [treas.gov](http://www.treas.gov) as fifth place (out of 48) federal websites evaluated in the study. The study evaluated the presence of a number of different features, such as online publications, online databases, and disability access.

Agency's FOIA Handbook, the link of your agency's primary FOIA website, and the website link where frequent requests for records are made available to the public:

Treasury's Freedom of Information Management Act (FOIA) regulations are at 31 CFR Part 1, Subpart A. The regulations and other information can be found on the Treasury Internet web site at <http://www.treas.gov/foia/reading-room/handbook.pdf>.

Treasury has taken a number of steps to ensure that its information dissemination activities are coordinated with its FOIA operations and are positioned for success within the agency.

- As required in Executive Order 13392 (EO), Treasury designated Mr. Peter B. McCarthy, Assistant Secretary for Management and Chief Financial Officer, as its Chief FOIA officer.
- Treasury identified FOIA requester service centers and designated FOIA public liaisons to ensure that citizens receive prompt and accurate replies to FOIA requests.
- Treasury developed a FOIA improvement plan in accordance with guidance issued by the Department of Justice's Office of Information and Privacy. This plan lists the Department's designated FOIA service centers, identifies the most commonly requested information at each service center, highlights the number of backlogged FOIA requests at each of the designated service centers, and lays out a strategy, including metrics, for ensuring that the Department's FOIA operations are results oriented and continue to support information dissemination to the public. For further information regarding this plan, please see Treasury's FOIA improvement plan at <http://www.treas.gov/foia/reading-room/foia-improvement-plan.pdf>.

An inventory describing formal agency agreements (e.g., contracts, memorandum of understanding) with external entities (e.g., partnerships with State and local governments, public libraries, industry and commercial search engines) complementing your agency's information dissemination program, briefly explaining how each agreement improves the access to and dissemination of government information to the public:

The Department's computer matching agreements (CMA) focus on the safeguarding of an individual's personal information or the disclosure/receipt of records for individuals (receiving a benefit, recouping payments, or owing a debt). The CMAs are based on Computer Matching and Privacy Protection Act/Privacy Act which prevents disclosure of information in identifiable form to the public. The table below includes the Department's CMAs.

Department of the Treasury
Computer Matching Agreements
(As of August 2007)

REPORTING AGENCY	TITLE OF MATCH	MATCHING AGENCY	PURPOSE	CATEGORY (NEW, RENEWAL, EXTENTION)
Internal Revenue Service (IRS)	Disclosure of Information to Federal, State, and Local Agencies (DIFSLA)	50 State Agencies	IRS agrees to disclose certain return information for use in verifying eligibility for, and/or the correct amount of, benefits for individuals applying for or receiving certain benefit payments.	Extension (July 1, 2007 to June 30, 2008)
Internal Revenue Service (IRS)	Disclosure of Information to Federal, State, and Local Agencies (DIFSLA)	Federal Agencies	IRS agrees to disclose certain return information for use in verifying eligibility for, and/or the correct amount of, benefits for individuals applying for or receiving certain benefit payments.	Extension (July 1, 2007 to June 30, 2008)
Bureau of the Public Debt (BPD)	BPD matching program with the SSA	Social Security Administration (SSA)	BPD agrees to disclose information necessary to verify an individuals' self-certification of eligibility for prescription drug subsidy assistance under Public Law 108-173.	Renewal (June 26, 2006 to December 25, 2007)
Internal Revenue Service (IRS)	TIGTA matching program with the IRS	Treasury Inspector General for Tax Administration (TIGTA)	To set forth the terms under which TIGTA will match IRS computerized data to detect fraud, waste and abuse concerning activities of the IRS and related entities.	New (March 1, 2007 to August 31, 2008)

REPORTING AGENCY	TITLE OF MATCH	MATCHING AGENCY	PURPOSE	CATEGORY (NEW, RENEWAL, EXTENTION)
Internal Revenue Service (IRS)	Medicare Part B Premium Subsidy Adjustment Program (IRS Project 693)	Social Security Administration (SSA)	To set forth the terms under which the IRS agrees to disclose to SSA certain return information for the purpose of establishing the correct amount of Medicare Part B Premium.	New (March 27, 2007 to September 27, 2008)
Internal Revenue Service (IRS)	Taxpayer Address Request Program (IRS Project 057)	Department of Education (DOE)	To set forth the terms under which the IRS agrees to disclose to DOE computerized data to determine the current address of individuals who have defaulted on student loans.	New (April 17, 2007 to October 17, 2008)
Internal Revenue Service (IRS)	Prescription Drug Subsidy Program (IRS Project 692)	Social Security Administration (SSA)	To set forth the terms under which the IRS will disclose to SSA certain return information for use in verifying eligibility for, and/or the correct amount of, benefits provided under the Social Security Act.	New (October 1, 2007 to March 31, 2009)
Bureau of the Public Debt (BPD)	BPD matching program with the SSA (Program 1304)	Social Security Administration (SSA)	To set forth the terms under which BPD agrees to disclose to SSA information to verify an individual's self-certification of eligibility for prescription drug subsidy assistance under Public Law 108-173, the Medicare Prescription Drug, Improvement and Modernization Act of 2003 (MMA).	Extension (October 1, 2007 to September 30, 2008)

An inventory that describes your agency’s NARA-approved records schedules(s) or the link to the publicly-posted records schedules, and a brief explanation of your agency’s progress to implement NARA Bulletin 2006-02. For the brief explanation please report the number of systems for which a record schedule was submitted to NARA in FY 2007 and the number of systems still requiring records schedules:

For the last several years, Treasury has focused on scheduling its electronic systems. Staff partnered with National Archives and Records Administration (NARA) under the *Targeted Assistance Program* and obtained contractor support to assist bureaus and offices in their records scheduling activities. Two bureaus, the Bureau of Engraving and Printing and Bureau of the Public Debt, have scheduled all of their systems. The Office of Thrift Supervision currently has contractors on-site developing inventories of both paper and electronic records as well as developing file plans and identifying vital records. The Financial Management Service completed scheduling activities for some of its systems; however, because the records in the systems involve litigation, NARA will not approve the schedules until the case is resolved.

In FY 2007, the Department participated in the OMB/NARA Federal Enterprise Architecture-Records Management Profile (FEA-RM) pilots. We incorporated the Records Management Profile (RMP) in the Department’s CPIC process. Bureaus and offices must consider records through the system life cycle and ensure the resultant system records are scheduled. The RMP/CPIC pilot elevated records management awareness and scheduling. IRS acknowledged the most success with the pilot. IRS records staff collaborated with business owners and was engaged in more than 70 reviews of systems that are identified in the ProSight tool. The records staff identified scheduled and unscheduled systems. In effect, the RMP pilot requires inclusion of the Department’s records management program staff when developing system requirements for electronic systems. The table below illustrates the Department’s system scheduling information.

Department of the Treasury			
Bureau/Office	Number of System Schedules Submitted in FY 2007	Number of Systems Requiring Records Schedules	Remarks
Alcohol and Tobacco Tax and Trade Bureau	0	12	Comprehensive schedule including systems to be forwarded to NARA Quarter 1 FY 2008
Bureau of Engraving and Printing	0	0	All systems scheduled in the approved NARA schedules
Bureau of the Public Debt	0	0	All systems schedules in the approved NARA schedules
Departmental Offices	0	53	50% of systems to be scheduled in FY 2008 and 50% in FY 2009
Financial Crimes			Most systems included in the

Enforcement Network	0	2	comprehensive schedule
Financial Management Service	0	35	7 system schedules pending approval at NARA related to litigation; submitting more system schedules in FY 2008
Internal Revenue Service	12	117	Some legacy systems will be replaced in FY 2008/09; new systems will be scheduled
Office of the Comptroller of the Currency	7	4	Systems also covered in the comprehensive schedule; 2 system schedules are in draft
Office of Thrift Supervision	0	15	In discussion with NARA appraisal archivist
U.S. Mint	0	7	Has scheduled many of its electronic systems

Alcohol and Tobacco Tax and Trade Bureau

Authority

NC1-436-80-2 Headquarters Operations General Administrative Management Files

NC1-436-80-2 Working Papers

N1-436-00-2 Training Text and Instructor Guide

N1-436-00-2 General Training File

NC1-436-80-2 Correspondence Relating to Statistical Data

NC1-436-80-2 Statistical Mailing Lists

NC1-436-80-2 Vital Records

NC1-436-80-2 Budget Policy Files

NC1-436-80-2 Budget Estimates and Justification Files

NC1-436-80-2 Time and Attendance (T&A) Records

NC1-436-80-2 Time and Attendance Logs

NC1-436-80-2 Forms Case Files

NC1-436-80-2 Congressional Correspondence File

N1-436-86-2 Plans, Progress, Problems Report

NC1-436-80-2 OFFICE OF CHIEF COUNSEL Administrative Files

NC1-436-89-1 Records of Chief Counsel's Opinions

NC1-436-80-2 Closed Legal Case Files

NC1-436-80-2 Closed Litigation Case Files Without Precedential Value

NC1-436-80-2 Closed Civil Litigation Case Files

NC1-436-80-2 Closed Legal Files Relating to Administrative Act

NC1-436-80-2 Closed Legal Files

NC1-436-80-2 Closed Legal Case Files

NC1-436-77-4 REGIONAL COUNSEL Closed Legal Files

NC1-436-77-4 REGIONAL COUNSEL Internal Control Records

NC1-436-77-4 REGIONAL COUNSEL Reports

NC1-436-80-2 OFFICE OF INSPECTION General Subject Files

NC1-436-80-2 Correspondence of a Routine Nature

NC1-436-80-2	Reports of Operations Review
NC1-436-80-2	Workpapers prepared in connection with operations review
NC1-436-80-2	Files Relating to Reviews by GAO and GAO Reports
NC1-436-80-2	Working Papers Prepared in Audits
NC1-436-80-2	Reference Files
NC1-436-83-2	Investigative Report Files
NC1-436-83-2	Investigative Report Files
N1-436-96-2	Press Releases; Biographies of Major Bureau Personnel
N1-436-86-2	Chemists' Analysis Reports
NC1-436-77-2	Formulas for Articles Made With Specially Denatured Alcohol or Rum
NC1-436-77-2	Chemists' Record Books
N1-436-86-2	Card Records of Analysis
NC1-436-77-2	Requests for Sample Analysis
N1-436-86-2	Sample Index Books
N1-436-86-2	Laboratory Correspondence (Technical)
NC1-436-80-2	Articles Made From Specially Denatured Spirits Files
NC1-436-80-2	Formula and Process for Nonbeverage Product
N1-436-86-2	Report of Laboratory Examination
NC1-436-80-2	Latent Identification Records File
NC1-436-80-2	Divisional Monthly Operations Report
NC1-436-80-2	Periodic Narrative Reports
NC1-436-80-2	Background Material Relating to Regulations, ATF Directives, and Other Issuances
NC1-436-80-2	Assignment Control Tracking Records
N1-436-90-3	Tobacco and Alcohol Program Files
NC1-436-80-2	Transitory Correspondence Files
NC1-436-80-2	General Correspondence Files and Indexes Thereto
NC1-436-80-2	Alcohol and Tobacco Historical Files
NC1-436-80-2	Consolidated Reports of Inspection Activities
NC1-436-80-2	Annual Statistical Reports
NC1-436-80-2	Annual Report of Spirits in Warehouses
NC1-436-80-2	Monthly Reports of Operations
NC1-436-80-2	Statistical Posting Books
NC1-436-80-2	Offers in Compromise File
NC1-436-80-2	Revenue Producing Establishment Files
NC1-436-80-2	Lists of Industry Establishments
NC1-436-80-2	Source Material for Lists of Industry Establishments
NC1-436-80-2	Establishment Lists Correspondence
NC1-436-80-2	Revenue and ATF Rulings, Revenue and ATF Procedures, and Announcements
NC1-436-80-2	Revenue and ATF Rulings and ATF Procedures Control Record
NC1-436-80-2	Background Material Relating to Regulations, ATF Directives, and Other Issuances
N1-436-86-2	Closed Study Files
NC1-436-80-2	Label Applications

NC1-436-80-2	Label Correspondence
NC1-436-80-2	Advertising Copy Files
NC1-436-80-2	Interlocking Directorates Files
NC1-436-80-2	Tobacco Products Sample Logs
NC1-436-80-2	Permit Index Card Files
NC1-436-86-2	Enrollment to Practice Before ATF
NC1-436-80-2	Distinctive Marks on Tobacco Packages
NC1-436-80-2	United States Tax-Free Alcohol Permits
NC1-436-80-2	United States Specially Denatured Spirits Permits. Record of Bottle Manufacturers. Bottle Manufacturer Correspondence. Control Card Record of Essences Covered by Wine and Rectified Products Formulas. Pilot Operations Files
N1-436-86-2	Card Index of Closed Studies
N1-436-95-1	Automated Information System Certificate of Label Approval
N1-436-95-3	Automated Information System Leads, Investigations, and Cases
N1-436-97-2	Special Occupational Tax System (SOT)
N1-436-97-2	Federal Excise Tax (FET)
N1-436-95-2	Industry Statistics System
NC1-436-75-2	Field Operations Routine Correspondence Files
NC1-436-80-2	Monthly and Other Periodic Reports (Field Operations)
NC1-436-80-2	Field Operations Monthly Reports of Operations. Periodic Narrative]
NC1-436-76-2	Field Office General Administrative Management Files. Periodic Recurring Reports. Issuances From Headquarter Operations. Personal Property Records
NC1-436-80-3	Field Offices Time and Attendance Logs
NC1-436-77-2	Federal Alcohol Administration Basic Permit Files
NC1-436-77-2	Notice and Application Files
NC1-436-77-2	Internal Revenue Code Permit Files
NC1-436-77-2	Corporate Documents Files. Plats and Plans Files. Bonds and Consents Files. Prejudice Information Case Files
NC1-436-77-2	Inspection Report Files. Operating Documents Files. Assessment Files (Liquor and Tobacco). Formulas and Processes Files. Permit Correspondence Files (Liquors and Tobacco). Audit Correspondence Files (Liquors and Tobacco). Samples for Testing. Un-subdivided Basic Files. Operating Reports (Liquors) Other than Wholesalers' and Importers' Reports. Daily Reports and Individual Transaction Reports (Liquors). Inventories and Reports (Tobacco). Individual Transaction Forms (Tobacco). Control Copies of Transaction Forms, Notices, or Commercial Papers (Liquors and Tobacco). Tax Returns.
NI-436-88-1	Claims (Liquors, Tobacco. and Firearms)
NC1-436-77-2	Transaction Forms in Support of Export Claims with Benefit of Drawback (Liquors). Records of Errors or Discrepancies Not Resulting in Tax Adjustment, Claim, or Assessment (Liquors and

Tobacco). Correspondence with District Directors or IRS Service Center. Correspondence with Headquarters Operations. Interregional Correspondence. Nonbeverage Drawback Formulas and Bonds. Export Document Records, Transaction Forms (Withdrawals Without Payment of Tax, Liquors and Tobacco). Export Bonds and Consents (Withdrawals Without Payment of Tax). Export Bonds and Consents (Withdrawals with Benefit of Drawback). Files of Stills Removed to Nonregistrants

NI-436-90-1 Specially Denatured Spirits Sample Permits

NI-436-86-2 Statement of Adjustment to the Puerto Rican or Virgin Island Tax Account

NC1-436-77-2 Nonpermittee Applications and Authorizations (Liquors). Still Wines Used in Manufacture of Vinegar. Distinctive Container Records. Historical Permit File Index Card (Liquors and Tobacco). Permit File Index Card. Record Card>Returns, Bonds and Application Record (Liquors and Tobacco). Bond (Card Index). Register of Applicants for Basic Permits (Liquors). Record and Receipt of Inventories and Reports (Liquor and Tobacco). Record Card (Report, Receipt, Withdrawal Allowances, Bonds, Approved Uses Record). Record Card (Bonded Wine Cellar Bond Account). Record Cards (Account of Liquor Withdrawn for Exportation). Nonbeverage Drawback Record. Tax Control Cards. Claims Record. Record of Offer in Compromise. Record of Prepayment Tax Returns. Analysis and Control Report Files. Records of Lock, Seals, and Gauging Instruments. Abstract and Statement (For Public Inspection). Retail Liquor Dealer Records. Disapproved Change Applications. Administrative and Support CFO File

NI-436-86-2 Control Record of Tax Liability

NI-436-90-1 Special Tax Record. Establishment Files (Liquor Bottles). SDA Record Cards. Analyst Staff Assignment Files

NI-436-86-2 Emergency Relocation Records

NC1-436-81-1 Regulatory Information Management System (RIMS) Activity Reports. Auditors' Monthly Activity Reports. Audit Work Papers

NC1-436-77-2 Control Copies and Suspense File of Miscellaneous Documents (Alcohol and Tobacco). Periodic Records and Reports. Statistical Reports Files

NI-436-94-2 Taxpayer Record Files

NI-436-94-2 Enforced Collection Forms

NC1-436-77-2 Area Office Records of Inspection Assignments and Reports

NC1-436-77-2 Area Office Assignment and Report of Inspection

NC1-436-77-2 Plant Profiles

NC1-436-77-2 Administrative and Support Files

NC1-436-77-2 Field Officers' Monthly Activity Report

NC1-436-77-2 Plant Data Files

Departmental Offices

(includes Office of Inspector General, Treasury Inspector General for Tax Inspector General, and Community Development Financial Institutions Fund Records)

Authority

N1-056-00-2	IG Program and Investigative Files
N1-056-02-3	Office of the Secretary, Chief of Staff Executive Secretary Director, Scheduling Office and Deputy Secretary
N1-056-01-9	Office of Public Affairs
N1-056-03-5	Office of the Treasurer
N1-056-03-7	Office of Public Affairs – Photo Lab
N1-056-03-6	Assistant Secretary, Legislative Affairs
N1-056-03-10	Departmental Offices, Records Common to Most Departmental Offices
N1-056-03-2	Community Development Financial Institutions
N1-056-03-9	Office of the Assistant Secretary, Domestic Finance Air Transportation Stabilization Board
N1-056-01-08	Treasury Inspector General for Tax Administration Chief Counsel
N1-056-01-06	Treasury Inspector General for Tax Administration Assistant Inspector General for Management Services
N1-056-01-5	Treasury Inspector General for Tax Administration Assistant Inspector General for Management Services (formerly N1-58-87-7)
N1-056-00-3	Under Secretary, Domestic Finance Assistant Secretary, Financial Institutions
N1-056-03-4	Assistant Secretary, Management and CFO Office of DC Pension
N1-056-02-4	Under Secretary for Enforcement Office of Foreign Asset Control
N1-056-00-1	Financial Crimes Enforcement Case Files Change
N1-056-95-03	Office of AS, Enforcement Office of DAS, Regulatory, Tariff and Trade Enforcement
N1-056-95-02	Office of the Assistant Secretary International Affairs Office of DAS for Technical Assistance
N1-056-95-04	Thrift Depositor Protection Oversight Board
N1-056-95-01	Office of the General Counsel (Revisions)
N1-056-94-01	Under Secretary, Domestic Finance Office of Federal Financing Bank
N1-056-92-01	Office of Synthetic Fuels
N1-056-91-03	Department of Treasury Energy Policy Group
N1-056-91-01	Office of Law Enforcement Coordination
N1-056-90-9	Division of Research and Statistics
N1-056-90-8	Office of General Counsel

N1-056-90-7	Office of Employment Policy Officer
N1-056-90-6	Office of Law Enforcement DAS (Law Enforcement)
N1-056-90-5	Office of the Secretary Office of Tax Policy Tax Legislative Council
N1-056-90-4	Office of the Secretary Office of the Fiscal Assistant Secretary
N1-056-90-1	Deputy Assistant Secretary for Information Services Office of Information Resources Management
N1-056-89-6	AS for Economic Policy
N1-056-89-5	Office of Administration
N1-056-89-4	Reconstruction Finance Corporation
N1-056-89-3	U.S. Savings Bond Division
N1-056-89-2	Office of the Field Director of the War Finance Division
N1-056-89-1	Office of the Director of Publicity of the War Loan Org
N1-056-88-2	Office of the Secretary, Office of Tax Policy
N1-056-87-1	Office of the Secretary, Office of Tax Policy
N1-056-86-6	Office of the Secretary, Financial Management Division
N1-056-86-5	Office of the Secretary, Administrative Operations Division
NI -056-86-4	AS for Domestic Finance, Office of Revenue Sharing
N1-056-86-3	AS for International Affairs
N -056-86-2	Office of the Secretary, Office of the National Advisory Council
N1-056-86-1	US Savings Bond Division
N1-056-85-2	Office of the Fiscal Assistant Secretary
N1-056-85-1	AS International Affairs, National Advisory Council
N1-056-84-3	US Savings Bond Division
N1-056-84-2	AS Domestic Finance
NC1-056-84-1	U.S. Savings Bond Division
NCI-056-83-2	U.S. Savings Bonds Division
NC1-056-83-1	Office of Administrative Programs
NC1-056-82-4	Office of Personnel
NC1-056-80-2	Office of the Assistant Secretary, International Affairs
NCI-056-80-1	Office of the Assistant Secretary, Enforcement and Operations
NCI-056-79-11	Office of the National Advisory Council
NC1-056-79-8	Assistant Secretary, Economic Policy
NC1-056-79-7	Office of Tax Analysis
NC1-056-79-6	Emergency Loan Guarantee Board
NC1-056-79-3	Assistant Secretary, Economic Policy
NC1-056-79-1	Office of Inspector General
NC1-056-78-7	Under Secretary (Law enforcement, administrative management, currency manufacturing)
NC1-056-78-6	Office of General Counsel
NC1-056-78-5	Office of the Under Secretary, Monetary Affairs
NC1-056-78-4	Office of Intelligence Support
NC1-056-02-2	Federal Law Enforcement Training Center

NC1-056-03-5	Office of the Treasurer of the United States
NC1-056-77-3	DAS, Research; Office of Data Services
NC1-056-76-6	AS, Enforcement, Operations & Tariff Affairs
NC1-056-76-5	Foreign Portfolio Investment Study Project
NC1-056-76-4	Office of General Counsel
N1-056-01-7	Under Secretary for Enforcement
N1-056-03-11	Office of Foreign Assets Control
N1-056-03-8	Office of Sallie Mae Oversight (Domestic Finance)
N1-056-03-9	Air Transportation Stabilization Board (ATSB) (Domestic Finance)
N1-056-04-3	Office of the Secretary (IRS Oversight Board)
N1-056-05-2	Treasury Inspector General for Tax Administration Chief Counsel
N1-056-07-1	Office of General Counsel (911 Commission)
N1-056-01-2	Office of Information Technology Policy and Strategy (Y2K)

Bureau of Engraving and Printing

Authority

N1/318/04/1	BEP Strategic Planning
N1/318/04/2	BEP Information Technology Operations, Services and Records
N1/318/04/3	Legal Records
N1/318/04/4	Budget Formulation
N1/318/04/5	Securities Technology Research and Testing
N1/318/06/1	
N1/318/04/6	Personnel Management and Labor Relation
N1/318/04/7	Administrative Programs and Services
N1/318/04/8	Security Systems and Services
N1/318/04/9	Procurement Records
N1/318/04/10	Financial Management
N1/318/04/11	Common Records Found Throughout BEP Offices
N1/318/04/12	Bureau History
N1/318/04/13	Ink Design and Manufacturing
N1/318/04/14	Inventory and Materials
N1/318/04/15	Engineering and Maintenance
N1/318/04/16	Currency Standards
N1/318/04/17	Special Studies and Projects
N1/318/04/18	Postage Stamp and Special Products Printing and Processing
N1/318/04/19	Engraving and Plate Production
N1/318/04/20	Currency Printing and Processing
N1/318/04/21	Public Services
N1/318/04/22	Bureau Policies and Procedures
N1/318/04/23	Official Files of the Director and Senior Bureau Officials
N1/318/04/24	Environment, Safety & Occupational Health Records
N1/318/04/25	Management Control and Accountability
N1/318/05/1	Securities Destruction Verification
N1/318/06/2	Operational Records For Currency Production

Bureau of the Public Debt

Authority

N1-53-03-03	Federal Reserve Banks
N1-53-06-01	Activity Analysis
N1-53-06-02	TreasuryDirect
N1-53-06-03	Franchise
N1-53-06-04	Support
N1-53-06-05	Retail
N1-53-06-06	Summary
N1-53-06-07	Wholesale
N1-53-06-08	GAIS

Financial Crimes Enforcement Network

Authority

- NI-559-05-1 FinCEN Comprehensive Records Schedule (*covers paper and electronic records*)
- NI-56-95-3 FinCEN Base Records Schedule (*covers paper only; under revision*)

Financial Management Service

Authority

- N1-425-91-1 FMS Comprehensive Records Schedule
- N1-425-02-1 Assistant Commissioner, Agency Services
- N1-425-02-2 Assistant Commissioner, Financial Operations – Foreign Claims Files and Closed Court Files
- N1-425-03-1 Assistant Commissioner, Debt Management Services, Debt Services Division
- N1-425-03-2 Assistant Commissioner, Governmentwide Accounting, Cash Forecasting Division
- N1-425-03-4 Assistant Commissioner, Debt Management Services, Business and Agency Liaison Division
- N1-425-03-5 Assistant Commissioner, Debt Management Services, Debt Services Division – Mortgage Servicing
- N1-425-03-6 Assistant Commissioner, Financial Operations, Credit Accounting Branch, Courtesy Disbursement Records
- N1-425-04-1 Assistant Commissioner, Agency Services, Professional Development Division – Learning Management System (LMS)
- N1-425-04-2 Assistant Commissioner, Federal Finance, Asset Management Directorate, Program Assistance Division
- N1-425-04-3 Assistant Commissioner, Financial Operations, Financial Accounting and Services Division, Surety Bond Branch
- N1-425-04-4 Assistant Commissioner, Debt Management Services
- N1-425-05-1 Assistant Commissioner, Management (Chief Financial Officer), Administrative Programs Division
- N1-425-05-2 Assistant Commissioner, Information Resources, Security Operations Division, Data Access Controls Staff
- N1-425-05-3 Assistant Commissioner, Federal Finance, Electronic Funds Transfer Strategy Division
- N1-425-05-4 Office of the Commissioner, Office of Legislative and Public Affairs
- N1-425-06-1 FMS-Wide: Terminated, Obsolete or Superseded Information System Project Files
- N1-425-07-1 FMS-Wide: Files Related To Maintaining The Security of Systems and Data
- N1-425-07-2 Assistant Commissioner, Financial Operations, Financial Processing Division, Reclamation Branch Program Files

GRS 18, Item 22/
 GRS 20 Items 3a Background Investigation Case Management
 N1-425-03-2, Item 1 CASH-TRACK
 N1-425-03-4, Item 8 Debt Management Information System/Treasury Report on
 Receivables
 N1-425-04-1, Item 1 Learning Management System
 GRS 11, Item 4/
 GRS 20, Item 3a Physical Security System
 N1-425-04-3, Item 1 Surety Information Management System IV
 N1-425-04-4, Item 7 Treasury Offset Program / Call Tracking System

Internal Revenue Services

Records Scheduling Activities are accessible at <http://www.irs.gov/irm/part1/index.html>.

Scheduling activities begin at **1.15 Records Management:** 1.15.8, *Records Control Schedule for Administrative and Organizational Records*, through 1.15.37, *Records Control Schedule for the Economic Stabilization*.

Office of the Comptroller of the Currency

Authority

N1-101-05-1 OCC Comprehensive Records Retention Schedule (media-neutral)
 N1-101-97-1 Foreign Branches, National Bank Surveillance Video Display
 System (now called FINDRS), Supervisory Monitoring System,
 and Text Processing System
 N1-101-97-2 Corporate Activities Information System (CAIS), Fair Housing
 Loan Data system (FHHLDS), and Institution Database
 N1-101-01-1 Examiner View
 N1-101-05-1 OCC Comprehensive Media Neutral Schedule
 N1-101-06-1 Customer Complaint System and Customer Complaint National
 Call Center System
 N1-101-06-2 OCC Retiree Database and OCC Retiree Files
 N1-101-06-3 Money Laundering Risk (MLR) System
 N1-101-07-1 SIS-PAD Credit Card, SIS-PAD Home Equity, and SIS-PAD Fast
 Data
 N1-101-07-2 Shared National Credit.
 N1-101-07-3 Web Operations Records

Office of the Thrift Supervision

Authority

174-130; ITEM 1 Records of the Office of Communications
 174-148; ITEM 1 Balancing and Proofing Files
 174-148; ITEM 2 Banking Files
 174-148; ITEM 3 Disbursement Files
 174-148; ITEM 4 Financial Assistance Files
 174-148; ITEM 5 Insurance of Accounts Payout Files

174-148; ITEM 6 Journal Files
 174-148; ITEM 7 Ledger Account Card Files
 174-148; ITEM 8 Mis Report Files
 174-148; ITEM 9 Receipts Files
 174-148; ITEM 10 Budget Files
 174-148; ITEM 11A Internal Directive Files
 174-148; ITEM 11B Internal Directive Files
 174-148; ITEM 12 Management Information System Files
 174-148; ITEM 13A Office Supplies Files
 174-148; ITEM 14 Organization Files
 174-148; ITEM 15A Personnel Files
 174-148; ITEM 15B Personnel Files
 174-148; ITEM 16A Training Files
 174-148; ITEM 16B Training Files
 174-148; ITEM 17A Travel Files
 174-148; ITEM 17B Travel Files
 174-148; ITEM 18 Asset Liquidation Files
 174-148; ITEM 19A Asset Management Files
 174-148; ITEM 19B Asset Management Files
 174-148; ITEM 20A Audit Files
 174-148; ITEM 20B Audit Files
 174-148; ITEM 21 Committee and Task Force Files
 174-148; ITEM 22A Default Prevention Files
 174-148; ITEM 22B Default Prevention Files
 174-148; ITEM 23 Insurance of Accounts Payments Files
 174-148; ITEM 24 Plans, Reports and Statistics Files
 174-148; ITEM 25A Public Relations Files
 195-75-3; ITEM 1 Records of the Office of Communications
 195-75-3; ITEM 2 Records of the Office of Communications
 195-75-3; ITEM 3 Video and Audio Tapes
 195-75-4; ITEM 1E General Correspondence Subject Files
 195-75-4; ITEM 2E Contracts, Leases, Insurance Policies
 195-75-4; ITEM 2F All Remaining Individual Bank Files
 195-75-4; ITEM 5 Certified FHLBank Annual Audit Reports
 195-75-4; ITEM 7 FHLBank Presidents' Conferences
 195-75-4; ITEM 8 Treasury Circular No. 945
 195-75-6; ITEM 2 Investment Division Correspondence
 195-75-6; ITEM 3 Portfolio Reports, Reconciliation Records, Pricing
 195-75-6; ITEM 5 FHLB Consolidated Obligations Issue Files
 195-75-6; ITEM 12 Imprest Fund Files
 195-75-8; ITEM 1 Holding Company Folders-Record Folder
 195-75-8; ITEM 2A Holding Company Reports Folder
 195-75-8; ITEM 2B Holding Company Folders-Annual Reports
 195-75-8; ITEM 3 Examinations Folder
 195-75-8; ITEM 4 Correspondence Folder
 195-75-8; ITEM 5 Acquisitions Folder

195-75-8; ITEM 6 Application Folder
 195-75-9; ITEM 18 Receivership Files
 195-75-9; ITEM 19 Financial Assistance Files
 195-76-1; ITEM 1 General Subject Correspondence Files
 195-76-1; ITEM 2 Research Subject Files
 195-76-4; ITEM 1A Receivership Files - Sample Of Receivership Files
 195-76-4; ITEM 1B Remainder of Receivership Files
 195-76-4; ITEM 2 Savings and Loan Study Materials Files
 195-77-11; ITEM 3 FSLIC Case Files
 195-77-14; ITEM 1 Litigation Files
 195-77-14; ITEM 2 Financial Assistance Files
 195-77-14; ITEM 3 Receivership Files
 195-77-15; ITEM 3 Annotated Manual of Statutes & Regulations (FHLBB)
 195-77-15; ITEM 4 Annotated Manual Record File
 195-77-17; ITEM 1 Savings And Loan Association Files
 195-77-18; ITEM 1 Legislative History Files (1932-Date)
 195-77-18; ITEM 2 Legislative Proposal Files
 195-77-21; ITEM 1 Copy Centers Log Books
 195-77-21; ITEM 2 Copy Center Machine Logs
 195-77-21; ITEM 3 Print Shop Log Books
 195-77-23; ITEM 1 Records of the Office of Communications
 195-77-23; ITEM 2 Records of the Office of Communications
 195-77-23; ITEM 3 Records of the Office of Communications
 195-77-23; ITEM 4 Completed Mic Projects
 195-77-23; ITEM 5 Video and Audio Tape File
 195-77-23; ITEM 6 Photo File For Journal
 195-77-25; ITEM 2 Office of Economic Research-Research Case Files
 195-77-25; ITEM 3 Agency For International Development
 195-77-25; ITEM 4 Foreign Housing and Economic Files
 195-77-25; ITEM 5 Credit And Investment Committee
 195-77-25; ITEM 6A Microfilmed Records - Publications
 195-77-25; ITEM 6B Microfilmed Records-Publication Files
 195-77-26; ITEM 1 FHLBB Policy And History Files
 195-77-26; ITEM 3 FHLBanks Imprest Fund Files
 195-77-27; ITEM 1 Governmental Agencies File
 195-77-27; ITEM 2 FHL Bank Files
 195-77-27; ITEM 3 Savings and Loan League Files
 195-77-27; ITEM 4 Trip Files
 195-77-27; ITEM 5 Bank Board Progress Reports
 195-77-27; ITEM 6 Assistant To The Board Files-Subject Files
 195-77-27; ITEM 7 FSLAC-Subject Files
 195-77-27; ITEM 8 FSLAC - Meeting Files
 195-77-27; ITEM 9 Resolution Files-FSLAC and FHLMC
 195-77-27; ITEM 10 Chairman's Subject File
 195-77-28; ITEM 1 Special Projects Files
 195-77-28; ITEM 2 New Building Program Files

195-77-28; ITEM 3 New Building Subject Files
 195-77-29; ITEM 1 Management Studies and Projects
 195-77-29; ITEM 25 Financial Audit Reports
 195-77-30; ITEM 10 Budget Submission-Congress FHLBB Justifications
 195-77-30; ITEM 15 Travel Reports (To GSA)
 195-77-30; ITEM 27 Cancelled Checks For Secondary Reserve Payback
 195-77-30; ITEM 32 Paid Examination Bills
 195-77-31; ITEM 1 New Building Activities Report, Monthly
 195-77-31; ITEM 2 Administration Division Monthly Progress Report
 195-77-31; ITEM 3 General Subject Correspondence Files
 195-77-32; ITEM 1 Minute Exhibits (1933-1967)
 195-77-7; ITEM 3 Program Subject Files
 195-78-1; ITEM 1 Assets Purchased Files
 195-78-1; ITEM 2A Contribution and Loan Files
 195-78-1; ITEM 2B Contribution and Loan Files - Reports
 195-78-1; ITEM 3A Payment of Insurance Files-Share Register
 195-78-1; ITEM 3B Payment of Insurance Files-All Other Material
 195-78-2; ITEM 1 Precedence Subject Files
 195-78-5; ITEM 1 Records of Office of Examinations & Supervision
 195-79-1; ITEM 1A Federal Charters 1932-1977
 195-79-1; ITEM 1B Federal Charters-1978-Forward
 195-79-1; ITEM 1C Micro Jackets of Federal Charters-1932 Forward
 195-79-1; ITEM 1D State Charters 1932-1977
 195-79-1; ITEM 1F Micro Jackets of State Charters-1932 Forward
 195-79-1; ITEM 2A1 Correspondence Folder-1932-1974
 195-79-1; ITEM 2A2 Correspondence Folders 1934-1974
 195-79-1; ITEM 2B1 Correspondence Folder (1975 - Forward)
 195-79-1; ITEM 2B2 Correspondence Folder (1975 Forward)
 195-79-1; ITEM 3A1 Application Folder- Branch Office Appl. 1932-1977
 195-79-1; ITEM 3A2 Correspondence Folder
 195-79-1; ITEM 3B1 Application Folder-1978 Forward
 195-79-1; ITEM 4A1 Examination Folder - 1932-1973
 195-79-1; ITEM 4B2 Examination Folder-1974 Forward
 195-79-1; ITEM 6A Securities and Exchange Act Folder - 1969-1975
 195-79-1; ITEM 6B Securities and Exchange Act Folder-1976 & Forward
 195-79-1; ITEM 7A Board Agenda Files-1932-1974
 195-79-1; ITEM 7B1 Board Agenda Files-1975 Forward
 195-79-1; ITEM 7B2 Board Agenda Files - 1975 Forward
 195-79-1; ITEM 8A Minutes Exhibits - Hardcopy
 195-79-1; ITEM 8B Minute Exhibits - Microfilm
 195-79-1; ITEM 9A Minute Books of the FHLBB-1932-1975
 195-79-1; ITEM 9B1 Minutes Books of the FHLBB-1976 Forward
 195-79-1; ITEM 9B2 Minute Books of the FHLBB - 1976 Forward
 195-79-1; ITEM 10A Index To Minute Books - Hardcopy
 195-79-1; ITEM 10B Index To Minute Books - Microfilm
 195-79-1; ITEM 11A Chairman's Orders - Hardcopy

195-79-1; ITEM 11B Chairman's Orders - Microfilm
 195-80-2; ITEM 1 Time and Attendance Reports
 195-80-3; ITEM 1 Government Stock Subscription Files
 195-90-1; ITEM 1A Correspondence Folder - 1932-1974
 195-90-1; ITEM 2A1 Examination Folder - 1932-1973
 195-90-1; ITEM 2A2 Examination Folder
 195-91-1; ITEM 1A Board Meeting Tapes - 1977-1986
 195-91-1; ITEM 1B Board Meeting Tapes - 1987-1989
 195-95-1; ITEM 1A FHLBB Chairman's Office - Correspondence Files
 195-95-1; ITEM 1B FHLBB Chairman - Subj., Case, Corresp. Files
 483-91-1; ITEM 1 Commercial Tenants/Office Space Files
 483-91-1; ITEM 2 Deputy Director's Inter-Agency Operational Files
 483-91-1; ITEM 3 Deputy Director's Inter-Agency Subject Files
 483-91-2; ITEM 4 Pre-8/1989 Inactive Thrift Files-Regional Schedule
 483-91-3; ITEM 2 Manpower Distribution Reports
 483-91-4; ITEM 1 Building Construction and Renovation Files
 483-91-4; ITEM 2 Utility Consumption Files
 483-91-4; ITEM 3 Building Maintenance And Admin. Subject Files
 483-92-1; ITEM 1 Workman's Compensation Files
 483-92-1; ITEM 3 Health And Wellness Program
 483-92-1; ITEM 4 Merit Increase, Bonus And Incentive Awards Program
 483-92-1; ITEM 5 Congressional Response
 483-92-1; ITEM 7 Employee Locator
 483-92-2; ITEM 1 Division Subject Files
 483-92-2; ITEM 4 Correspondence Manual
 483-92-3; ITEM 1 Minority Affairs-Correspondence Files
 483-92-3; ITEM 2 Minority Affairs-Exhibits For OTS Programs
 483-92-3; ITEM 3 Program Announcements
 483-92-3; ITEM 4 Minority Affairs-Contracts Questionnaire
 483-92-4; ITEM 1 Outgoing Correspondence Files
 483-92-4; ITEM 2 Subject File
 483-92-4; ITEM 3 Transitional Files
 483-92-7; ITEM 1 Controller's Division Progress Reports
 483-92-7; ITEM 4 Certifying Officers Files
 483-92-7; ITEM 7 Accounting Transmittal Forms
 483-92-7; ITEM 8 Accounting Master Reports
 483-92-7; ITEM 9 Payroll Procedures Files
 483-92-8; ITEM 1 Consumer Complaint Tracking Database-Electronic
 483-92-8; ITEM 3 Consumer Complaints System Output Reports
 483-92-9; ITEM 1 Asst. Director's & Deputy Directors' Subject Files
 483-92-9; ITEM 2 Policies And Procedures
 483-92-9; ITEM 3 DP/Mis Budget Planning Files
 483-92-9; ITEM 4 Mis Committee Files
 483-92-9; ITEM 5 DP Security Program Files
 483-92-9; ITEM 6 Disaster Recovery Plan
 483-92-9; ITEM 7 Five Year DP Plan

483-92-9; ITEM 9 Service/Trouble and Operators Logs
 483-93-1; ITEM 3A Board Resolutions and Minute Files-FHLBB
 483-93-1; ITEM 3B Board Resolutions/Minute Files of FHLBB-Exhibits
 483-93-1; ITEM 3C Board Resolutions/Minute Files of FHLBB-Index
 483-93-1; ITEM 4 Chairman's Orders
 483-93-1; ITEM 5 Board Agenda Files
 483-93-1; ITEM 6A Thrift Institution Files-1933-1985 Records
 483-93-1; ITEM 7 Thrift Institution Files-Closed Prior To Aug. 1989
 483-93-1; ITEM 9 Service Corporation Files
 483-93-1; ITEM 10A Holding Company Files and Reports
 483-93-1; ITEM 10C Holding Company Files and Reports
 483-93-1; ITEM 11A Certificate Files
 483-93-3; ITEM 1 Non-Congressional Controlled Correspondence
 483-93-3; ITEM 2 FHLBB/OTS Congressional Correspondence
 483-93-3; ITEM 4 Congressional Requests For Documents
 483-93-3; ITEM 5 Congressional Hearings & Testimony Files
 483-93-3; ITEM 6 Department of Treasury Correspondence
 483-93-3; ITEM 8 Signature File For the Director External Affairs
 483-93-3; ITEM 10 Director's Reference Correspondence (FYI) File
 483-93-4; ITEM 1 Correspondence Files-Public Affairs
 483-93-6; ITEM 1 Signature Files For Regional Operations
 483-93-6; ITEM 2 Subject Files - Dep. Director Regional Operations
 483-93-6; ITEM 4 Quality Assurance Program Files
 483-93-6; ITEM 5 Quality Assurance Handbook
 483-93-6; ITEM 6 IG Audit Investigation Files
 483-93-6; ITEM 7 GAO Records
 483-93-6; ITEM 8 Managing Director/ORR Files
 483-93-6; ITEM 9 1988 FSLIC Deals
 483-93-6; ITEM 10 Regional Managers Group Meetings
 483-93-7; ITEM 1 Weekly Significant Activities
 483-93-7; ITEM 2 Washington Operations Subject Files
 483-93-8; ITEM 1A Regulatory and Supervisory Subject Files
 483-93-8; ITEM 1C Regulatory and Supervisory Subject Files-Background
 483-93-8; ITEM 2 Home Owners Loan Corporation Case Files
 483-93-8; ITEM 3 Delay Memorandums & Resolution Trust Corp. Calendar
 483-93-8; ITEM 4 Accelerated Resolution Program Case Files
 483-93-8; ITEM 5 Special Supervision Working Group Case Files
 483-93-8; ITEM 6A1 Publications - FHLBB Records
 483-93-8; ITEM 6B Publications-Supporting Background/Work. Papers
 483-93-8; ITEM 7A Handbooks
 483-93-8; ITEM 7B1 Handbooks - FHLBB Records
 483-93-8; ITEM 7C Handbooks-Working Papers & Background Information
 483-93-8; ITEM 8B FFIEC Subcommittee Records-Working Papers/Subject
 483-93-8; ITEM 9 OTS Case Transfer Committee Minutes
 483-93-8; ITEM 10 The Financing Corporation (FICO) Minutes & Reports
 483-93-9; ITEM 1A1 Policy-Program/Project/Subject Files-FHLBB

483-93-9; ITEM 1B Policy Program/Project/Subject Files-Supporting
 483-93-9; ITEM 2 Assistant Director's (Ad) Subject Files
 483-93-9; ITEM 3A Regulatory Handbooks-Complete Record Set
 483-93-9; ITEM 3C Regulatory Handbooks-Working Papers & Background
 483-93-9; ITEM 5A1 Publications - FHLBB Records
 483-93-9; ITEM 5B Publications-Supporting Background/Working Papers
 483-93-9; ITEM 6A Policy Bulletins - Record Set
 483-93-9; ITEM 6B Policy Bulletins - Working Papers
 483-93-9; ITEM 6C1 Policy Bulletins-Rescinded Bulletins
 483-93-9; ITEM 7 Chron Files (Policy)
 483-93-9; ITEM 8 Holding Company Course Files
 483-93-9; ITEM 9 OES/ORPOS Transition Records
 483-93-11; ITEM 1 Inter-Agency Meetings/Committee Files
 483-93-12; ITEM 1 Holding Company Universe Database
 483-93-12; ITEM 2B Holding Co.-System Activity/Ad Hoc Reports
 483-93-13; ITEM 1 Regulatory Plan and PDs Activity Reports
 483-93-13; ITEM 2 REGULATORY PLAN-1992 To Present
 483-93-13; ITEM 3 PDS DATA - 1990 To Present
 483-93-14; ITEM 1 CIIS Database Master File
 483-93-14; ITEM 2 CIIS Output Reports
 483-93-15; ITEM 1 Regulatory Action Data System
 483-93-16; ITEM 1 Universe System Master File
 483-93-16; ITEM 3 Universe Transaction Files
 483-93-17; ITEM 1 FHLBB/OTS NATs Master Files
 483-93-17; ITEM 2 NATS Output Reports
 483-93-18; ITEM 2 Gets Boss Data Files
 483-93-18; ITEM 3 Branch Office Survey System Master File
 483-93-18; ITEM 4 Boss Summary Reports
 483-93-19; ITEM 1 Qualified Thrift Lender (Qtl) Test System Data
 483-93-21; ITEM 1A Correspondence Tracking Data Base - Non-Congressional
 Correspondence
 483-93-21; ITEM 1B Correspondence Tracking Data Base – Congressional
 Correspondence
 483-93-22; ITEM 1 HMDA-Loan Application Registers
 483-93-22; ITEM 2 Gets HMDA Data File
 483-93-22; ITEM 3 HMDA Data Base Master File
 483-93-22; ITEM 4 HMDA Comment Sheets
 483-93-23; ITEM 1 Case Marketing Files
 483-93-24; ITEM 1 Gets Thrift Data File
 483-93-24; ITEM 2 Thrift Monthly & Quarterly Reports
 483-93-24; ITEM 3A Thrift Time Series Data - Pre-1993
 483-93-24; ITEM 4A TFR Forms/Instructions/Other Documentation
 483-93-24; ITEM 5 Thrift Edit Comment Sheets/Rpts/Correspondence
 483-93-24; ITEM 6 Financial Viability Monitoring System - UTPR
 483-93-24; ITEM 7 National Financial Monitoring Reports
 483-93-26; ITEM 1 Examination Data System Database

483-93-26; ITEM 2 Report of Examination
 483-94-1; ITEM 1 Gets MCOF Data File
 483-94-1; ITEM 2 New Monthly Cost of Funds Database
 483-94-1; ITEM 3 MCOF Documentation of Edit Process
 483-94-1; ITEM 4 Quarterly and Semi-Annual Cost of Funds
 483-95-1; ITEM 1A Public Legislative History Files-Prior To 1988
 483-95-1; ITEM 2 Historical Files
 483-95-1; ITEM 3 Kaplan Smith Thrift Industry Report
 483-95-1; ITEM 4 FHLBB and OTS Publications
 483-95-1; ITEM 5A FHLBB and OTS Thrift Publications-FHLBB 1947-1989
 483-96-1; ITEM 1 Boss Report Comment Sheets
 483-97-1; ITEM 1 FSLIC Financial Assistance Files - 1979-1989
 483-97-1; ITEM 2 FSLIC Asset Liquidation Files - 1979-1989
 483-97-1; ITEM 3 FSLIC Default Prevention Files - 1979-1989
 483-98-1; ITEM 1B Chief Counsel's Correspondence-E-Mail & WP
 483-98-1; ITEM 2B Legislative History And Legislative Reference File
 483-98-1; ITEM 3B Regulatory Reference Files - E-Mail & WP
 483-98-1; ITEM 4A(2) Significant Litigation Case Files-E-Mail & WP
 483-98-1; ITEM 4B(2) Non-Significant Litigation Case Files-E-Mail & WP
 483-98-1; ITEM 4C(2) Request For Documents - E-Mail & WP
 483-98-1; ITEM 5A(2) Significant Enforcement Investg/Litiga. Files
 483-98-1; ITEM 5B(2) Non-Significant Enforcement Investg./Litig. Files
 483-98-1; ITEM 5C(2) Enforcement Association Files - E-Mail & WP
 483-98-1; ITEM 5D(2) Enforcement Review Committee Files-E-Mail & WP
 483-98-1; ITEM 6B Regional Orders - E-Mail & WP
 483-98-1; ITEM 7B Thrift Institution Files - E-Mail & WP
 483-98-1; ITEM 8 Conservatorship And Receivership Files
 483-98-1; ITEM 9B Non-Thrift Related Opinions, Policy And Memos
 483-98-1; ITEM 10A(1) Ethics Program Inter-Agency Files
 483-98-1; ITEM 10A(2) Ethics Program Inter-Agency Files-E-Mail & WP
 483-98-1; ITEM 10B(1) Ethics-Related Opinion Files
 483-98-1; ITEM 10B(2) Ethics-Related Opinion Files
 483-98-1; ITEM 10C(2) Ethics Policy And Procedures Files-E-Mail & WP
 483-98-1; ITEM 11B Non-Thrift Case Files - E-Mail & WP
 483-98-1; ITEM 12B Legal Subject Files - E-Mail & WP
 483-98-1; ITEM 13B Division Signature Files - E-Mail & WP
 483-98-1; ITEM 14B Chief Counsel Policy And Procedures-E-Mail & WP
 483-98-1; ITEM 15B Agency Reports - Electronic Data
 483-98-1; ITEM 16B Status Reports and Staff Meeting Files-E-Mail & WP
 483-98-1; ITEM 17A FSLIC Case Files
 483-98-1; ITEM 17B FSLIC Closing Books
 483-98-1; ITEM 17C FSLIC Insurance Appeals/Hudspeth Claims
 483-98-1; ITEM 17D FSLIC Division Chron Files
 483-98-1; ITEM 18A Chief Counsel Management Information System
 483-98-1; ITEM 18B Chief Counsel Management Information System-Report
 483-98-1; ITEM 19A(2) Adjudicatory Files-E-Mail & WP

483-98-1; ITEM 19B(2)Adjudicatory Master List-E-Mail & WP Document
 483-04-1; ITEM 1A Corporate Structure Files-Prior 5 Years of Records
 483-04-1; ITEM 1B Corporate Structure - Remaining Inactive Records
 483-04-1; ITEM 2 Active Thrift, Hc, And It Servicer Exam WP
 483-04-1; ITEM 3 Inactive Thrift or HC Exam Working Papers
 483-04-1; ITEM 4 Inactive It Servicer Exam Working Papers
 483-04-1; ITEM 5A Electronic Mail and Word Processing-Copies
 483-04-1; ITEM 5B Electronic Mail and Word Processing-Copies
 483-06-1; ITEM 1A Y2K - Policy and Planning Records
 483-06-1; ITEM 1B Y2K - Policy and Planning Records
 483-06-1; ITEM 2 Y2K - Administrative Records
 483-06-1; ITEM 3A Y2K - System Implementation Records
 483-06-1; ITEM 3B Y2K - System Implementation Records
 483-06-1; ITEM 4 Y2K - Testimonies
 483-06-2; ITEM 1 Holding Company Files
 483-06-2; ITEM 2 Director's Decisional Packages
 483-06-2; ITEM 3 Director's Orders
 483-06-2; ITEM 4 Thrift Institution Files-Corporate Schedule
 483-06-2; ITEM 5 Comment Letters
 483-06-2; ITEM 6 Holding Company Files & Reports-Applications
 483-06-3; ITEM 1 Consumer Complaints
 483-06-3; ITEM 2 Certificates of OTS Charter
 483-07-1; ITEM 1 OTS Budget
 483-07-1; ITEM 2 Bureau Organizational Charts/Manuals
 483-07-1; ITEM 3 Directives Manual Files
 483-07-1; ITEM 4 Delegations of Authority
 483-07-1; ITEM 5 Schedules of Daily Activities
 483-07-1; ITEM 6 Congressional Controlled Correspondence Files
 483-07-1; ITEM 7 OTS Director's Correspondence Files
 483-07-1; ITEM 8 Senior Staff Meeting Minutes
 483-07-1; ITEM 9 Director's Speech Files
 483-07-1; ITEM 10 Biographical Files
 483-07-1; ITEM 11 Press Releases
 483-07-1; ITEM 12 Fact Sheets
 483-07-1; ITEM 13 Regulatory Policy Files
 483-07-1; ITEM 14 Asst. Dir/Reg Coordinators' Workpapers Case Files
 483-07-1; ITEM 15 Ffiec Subcommittee Records
 483-07-1; ITEM 16 Exam, Sup. & Cp/Project/Subject Files-OTS Records
 483-07-1; ITEM 17B Regulatory Handbooks-OTS Records
 483-07-1; ITEM 18 Committee Files
 483-07-1; ITEM 19 Publications - OTS Records
 483-07-1; ITEM 20C Policy Bulletins-OTS Records
 483-07-1; ITEM 21 Director's Subject Files
 483-07-1; ITEM 22 Assistants' Subject Files
 483-07-1; ITEM 23 Assistant's Case Files
 483-07-1; ITEM 24 Holding Company Universe System - Master Reports

483-07-1; ITEM 25 Universe System - Master Reports
 483-07-1; ITEM 26 Thrift Time Series Data-Rpt. Forms, Instr. & Doc.
 483-07-1; ITEM 27 Public Legislative History Files-1988 And Cont.
 483-07-1; ITEM 28 Chief Counsel's Correspondence
 483-07-1; ITEM 29 Legislative History And Legislative Reference File
 483-07-1; ITEM 30 Regulatory Reference Files
 483-07-1; ITEM 31 Litigation Files - Significant Case Files
 483-07-1; ITEM 32A Enforcement Files - Significant Case Files
 483-07-1; ITEM 32B Enforcement Association Files
 483-07-1; ITEM 32C Enforcement Review Committee Files
 483-07-1; ITEM 33 Enforcement Orders
 483-07-1; ITEM 34 Ethics Policy and Procedures Files
 483-07-1; ITEM 35 Chief Counsel Policy And Procedures
 483-07-1; ITEM 36A Adjudicatory Files-Administrative Hearings
 483-07-1; ITEM 36B Adjudicatory Files - Master List
 483-07-2; ITEM 1 Litigation Files - Non-Significant Case Files
 483-07-2; ITEM 2 Litigation-Non-Significant - Request For Documents
 483-07-2; ITEM 3 Enforcement Files-Non-Significant (Investigations)
 483-07-2; ITEM 4 Thrift Institution Files
 483-07-2; ITEM 5 Non-Thrift Related Opinions, Policy and Memos
 483-07-2; ITEM 6 Non-Thrift Case Files
 483-07-2; ITEM 7 Legal Subject Files
 483-07-2; ITEM 8 Division Signature Files
 483-07-2; ITEM 9 Agency Reports
 483-07-2; ITEM 10 Status Reports and Staff Meeting Files
 483-07-3; ITEM 1 Budget Variance Reports
 483-07-3; ITEM 2 GAO Audit Files
 483-07-3; ITEM 3 Financial Operations Correspondence Files
 483-07-3; ITEM 4 Fin. Op's Division Policy and Procedures Manual
 483-07-3; ITEM 5 U.S. Standard General Ledger
 483-07-3; ITEM 6A Fin. Plan. Anal. Subj/Proj/Prog Files-Work. Papers
 483-07-3; ITEM 6B Fin. Plan. Anal. Subj/Proj/Prog. Files-Sup.Bckgrnd

U.S. Mint

Authority

N1-104-79-1 Administrative and Program
 N1-104-80-01 Numismatic Order Process Records
 N1-104-80-02 Administrative & Program
 N1-104-81-1 Labor Distribution Worksheet and Recap Sheet
 N1-104-85-01 Records of Philadelphia Mint
 N1-104-90-1 Administrative Services
 N1-104-91-1 Mint Activities
 N1-104-94-1 Architectural & Engineering Drawings/Mint/Denver
 N1-104-95-1 Ledgers, Press Copy Book & Other Bound Volumes (1887-1971)
 and Old Mint Interior & Exterior Restoration Files (1973-1978)
 N1-104-96-1 Annual Reports-7/1/1997

N1-104-97-01	Numismatic Advertising and Promotional Records
N1-104-99-01	Audit Records
N1-104-99-02	Financial Planning & Analysis Records
N1-104-99-03	Safety and Health Records
N1-104-00-01	Coin, Medal & Other Mint Product Records
N1-104-00-04	Building & Equipment Records
N1-104-03-01	Security - Police Force Records
N1-104-03-02	Financial Management
N1-104-03-04	Security/Internal Review
N1-104-03-05	Procurement and Contracting
N1-104-03-06	Safety and Health
N1-104-03-07	Electronic Information Systems
N1-104-03-08	Human Resources
N1-104-03-09	Electronic Information Systems
N1-104-03-11	Electronic Information Systems
N1-104-03-12	Electronic Information Systems
N1-104-03-13	Electronic Information Systems
N1-104-05-02	Senior Official Daily Activities
N1-104-05-3	Y2K Records
N1-104-06-01	Litigations Files

Attachment A: Inventory of U.S. Department of Treasury Web Content

As required by Section 207(f) (2) of the E-Government Act of 2002 the Department of Treasury has created this inventory of web content. The Department has reviewed customer satisfaction surveys, Emails as well as queried Treasury content owners to determine any planned new content to be made public over the coming year. If you wish to provide comments or suggestions on this inventory please complete this form.

Information Category	Category Description	Planned New Content	Publication Target Date	Priority
Accounting and Budget	Performance and Accountability Report, Treasury Budget Request, Accounting Policy, Daily Treasury Statement, Monthly Treasury Statement, Estimation of Total Taxable Resources	Content is added or updated as available or as required by law.	Ongoing	N/A
Economy	Topics include: Job Growth, Low Unemployment, Economic Growth, Business Investment, Exports, Tax Revenues, Real Wages, Balanced Budget	Content is added or updated as available or as required by law.	Ongoing	N/A
Education	Treasury Duties and Functions, Treasury History, Building tours, Frequently Asked Questions on Coins, Currency, Personal Finance, Taxes, Financial Markets	Content is added or updated as available or as required by law.	Ongoing	N/A
Fighting Illicit Finance	Specially Designated Nationals and Blocked Persons lists, Seized Property Auctions, Forfeiture Forms and documents, OFAC forms and documents.	Content is added or updated as available or as required by law.	Ongoing	N/A
Financial Markets	Debt Management, Quarterly refunding Auction Schedules, Interest Rate Statistics Treasury Lending, International Capital Movements, Treasury Risk Insurance Program (TRIP)	Directory of TRIP Staff. Public Comments on Proposed TRIP Regulations. Other content is added or updated as available or as required by law.	2005	3
International	International Markets, Foreign Technical Assistance, Trade, International Standards and Codes, International Programs Budget, Exchange Stabilization	Content is added or updated as available or as required by law.	Ongoing	N/A
Online Services	Topics Include: Alcohol, Auctions, Bank Secrecy Act, Treasury Bills, Savings Bonds, Treasury Products available for purchase, Coins, Certification/Exemption of Label/Bottle Approval (COLA), Counterfeit, Cuba, Currency, Debt, Direct Deposit, Dollars, Education, E-File, Employer Identification Number (EIN), Federal Investments Program (FedInvest), Debt Management (FMS), Forms, Games, Direct Deposit of Federal Benefits (Go Direct), Health, IRS, Judgment Fund, Kids, License, Mint, Money, News, Newsletters, Office of Foreign Asset Control (OFAC), Pay.gov, Press Releases, Publications, Refund, Returns, Savings Bonds, SDN List, SLGS, Small Businesses, Social Security, Subscriptions, Suspicious Activity Report, Taxes, Tours, Travel, Treasury Direct, Treasury Investment, US Mint, Withholding.	Content is added or updated as available or as required by law.	Ongoing	N/A
Press Releases	News (press Releases, statements, reports, photographs, speeches, testimony,) Media Advisories, Public Schedule, Treasury Official's bios and photos, Health Savings Accounts, Organization Chart	Content is added or updated as available or as required by law.	Ongoing	N/A
Small Business	Procurement, Doing Business with Treasury, Contract Opportunities, Vendor Content is added or updated as available or as required by law. Outreach Sessions		Ongoing	N/A
Taxes	Tax Treaty Documents, Tax Policy Congressional Testimony, Tax Policy Documents, Tax Policy Congressional Testimony, Blue Book	Content is added or updated as available or as required by law.	Ongoing	N/A

Information Category	Category Description	Planned New Content	Publication Target Date	Priority
Technology	Capital Planning and Investment Control, Cyber Security, E-Government, Enterprise Architecture, Enterprise Solutions, HR Connect, Information Management, IT Workforce, Telecommunications, Information Quality	Content is added or updated as available or as required by law.	Ongoing	N/A

